



16W
2431

PATENT
Attorney Docket No.: ECD-0014
Customer No.: 29344
Confirmation No.: 1945

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

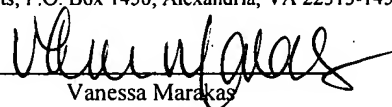
Applicant(s): James A. Merkle, Jr., *et al.* Examiner: LaForgia, Christian A.
Serial No.: 10/062,400 Group Art Unit: 2131
Filing Date: February 1, 2002
Title: SYSTEMS AND METHODS FOR MEDIA AUTHENTICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

2-2-07

Date


Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

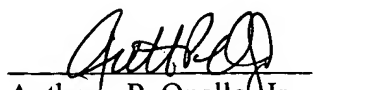
Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form PTO/SB/08A;
3. Copy of cite no. 1; and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: February 2, 2007
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
J:\ECD\0014\IDS\transIDS.wpd


Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



PATENT
Attorney Docket No.: ECD-0014
Customer No.: 29344
Confirmation No.: 1945

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James A. Merkle, Jr., *et al.* Examiner: LaForgia, Christian A.
Serial No.: 10/062,400 Group Art Unit: 2131
Filing Date: February 1, 2002
Title: SYSTEMS AND METHODS FOR MEDIA AUTHENTICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

2207
Date

Vanessa Marakas
Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the fee under 37 CFR 1.17(p), or
- ☐ under 37 CFR 1.97(d) together with:
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the fee set forth in 37 CFR 1.17(p).

Applicant(s): Daniel G. Howard, *et al.*

Serial No.: 10/773,103

Statement Under 37 C.F.R. 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and no item of information contained in this Information Disclosure Statement, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO/SB/08A:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are not required in accordance with 37 CFR 1.98(a)(2)(ii).
- ☐ Copies of cited references are entered in prior application, U.S. Serial No. xx/xxx,xxx, [now U.S. Patent No. x,xxx,xxx] and are not required to be submitted under 37 CFR 1.98(d).

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 37 CFR 1.98(a)(3)(i) for previously submitted reference AL is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ citation of related [i.e. U.S. Patent no. x,xxx,xxx, U.S. Publication no. xxxx/xxxxxx, WO Patent no. xx/xxxxx].
- ☒ submission of the enclosed English-language translation of previously submitted reference "AL" (German patent application no. DE 196 02 804), a document that was previously submitted in a Supplemental Information Disclosure Statement on May 12, 2004. The English-language translation of this document was recently located and is enclosed herewith.
- ☐ submission of abstract.

It is requested the information disclosed herein be made of record in this application.

Applicant(s): Daniel G. Howard, *et al.*


Serial No.: 10/773,103

Method of payment:

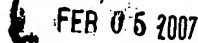
- ☐ Enclosed is a check in the amount of \$_____.
- ☐ Please charge Credit Card, Last 4 Numbers:_____, Expiration Date: _____, in the amount of \$_____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \$_____.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: February 2, 2007
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
JAEC0014\IDS\IDSform.wpd



Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

Complete if Known

Application Number	10/062,400
Filing Date	February 1, 2002
First Named Inventor	James A. Merkle, Jr.
Art Unit	2131
Examiner Name	LaForgia, Christian A.
Attorney Docket Number	ECD-0014

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	1	DE 196 02 804 A1	07-31-1997	Harras, Roland		✓

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.